

FORM PTO-1449		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. 3553-4020US3		SERIAL NO. TBA	
<b>INFORMATION DISCLOSURE CITATION</b>  (Use several sheets if necessary)				APPLICANT Jay S. Walker, et al.			
				FILING DATE 02/18/00		GROUP ART UNIT TBA	
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5,878,403	Mar. 2, 1999	DeFrancesco et al.			
		5,809,478	Sep. 15, 1998	Greco et al.			
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Papers, Etc.)</b>							
		LANCORP Mortgage Services, <a href="http://www.lancorp-mortgage.com/retailpa.htm">http://www.lancorp-mortgage.com/retailpa.htm</a> , 1998					
		Inland Mortgage Corporation, <a href="http://inlandmortgage.com/index.htm">http://inlandmortgage.com/index.htm</a> , 1998					
		The Mortgage Store, <a href="http://www.mortgagestore.com">http://www.mortgagestore.com</a> , 1998					
		Golden Age Antiques and Collectibles Online Auction, <a href="http://www.goldage.com">http://www.goldage.com</a> , 1997					
		Moran, Susan, "Xerox Won't Duplicate Past Errors", Business Week, 9/29/97					
		Coleman, Zach, "Electronic Trading System Matches Buyers, Seller", Atlanta Business Chronicle, vol 20; no. 12; pg. 37A, August 22, 1997					
		"What's Holding Up E-Cash?", Cybernetics Digest, vol. 3; no. 7, Finance					
EXAMINER <i>Jerry Lunte</i>				DATE CONSIDERED <i>8/12/02</i>			
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		5,774,883	Jun. 30, 1998	Andersen et al				
		5,331,546	Jul. 19, 1994	Webber et al.				
<b>FOREIGN PATENT DOCUMENTS</b>								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
<b>OTHER DOCUMENTS</b> (Including Author, Title, Date, Pertinent Papers, Etc.)								
		Resnick, Paul et al, "Roles For Electronic Brokers", <a href="http://ccs.mit.edu/CCSWP179.htm">http://ccs.mit.edu/CCSWP179.htm</a> 1997						
		Philatelists Online Information, <a href="http://www506.bonsai.com/q/@131354lhyjlf/infop.html">http://www506.bonsai.com/q/@131354lhyjlf/infop.html</a> , 1997						
		Sports trade Information, <a href="http://www.sportstrade.com/infos.html">http://www.sportstrade.com/infos.html</a> , 1997						
		Numismatists Online Information, <a href="http://www.numismatists.com/info.html">http://www.numismatists.com/info.html</a> , 1997						
		Sell and Trade Internet Marketplace, Sell and Trade, <a href="http://sellandtrade.com/script/main.asp">http://sellandtrade.com/script/main.asp</a> , 1997						
		Kay, Alan, "Chapter 7 Future Research", 1997						
EXAMINER <i>Jane Dunte</i>				DATE CONSIDERED <i>8/12/02</i>				
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		5,715,402	Feb. 3, 1998	Popolo			
		3,581,072	May 25, 1971	Nymeyer			
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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
							YES NO
		97/16797	5/9/97	WO			
<b>OTHER DOCUMENTS</b> <i>(Including Author, Title, Date, Pertinent Papers, Etc.)</i>							
		Trade-direct, <a href="http://www.trade-direct.com">http://www.trade-direct.com</a> . 1997					
		"Internet Mortgage Service Eliminates Loan Agents and Passes Commissions on to the Consumer", Yahoo! Finance, 1997					
		Negroponte, Nicholas, "Pay Whom Per What When, Part 2", NEGROPONTE, Issue 5.03, 1997					
		"Ticketing revolution Could Triple Airline Profits, Analyst Says", Aviation Daily, vol. 325; no. 11; pg. 87, 1996					
		"Auctioning Unsold Airline Tickets", adapted extract from Insight (USA), The Global Ideas Bank, 1996					
EXAMINER <i>Jay S. Walker</i>				DATE CONSIDERED <i>5/12/00</i>			
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			Rockoff, Todd E., et al., "Design of an Internet-based system for remote Dutch auctions," Internet Research: Electronic Networking Applications and Policy, Vol. 5, No. 4, pp. 10-16, 1995.				
			Franklin, Matthew K., et al., "The Design and Implementation of a Secure Auction Service," Proceedings: 1995 IEEE Symposium on Security and Privacy, pp. 2-14, 1995.				
			Tenenbaum, Jay M., et al., "CommerceNet: Spontaneous Electronic Commerce on the Internet," 1995 IEEE Spring Conference, pp. 38-43.				
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			Bunker, Ted, "How Auction Technology Sped And Enhanced Sale Of Radio Licenses," Investor's Business Daily, Executive Update, Regulation, Pg. A3, February 24, 1995.				
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			Anand, R., and Rao, M. Padmaja, "The Electronic Flea Market", IBM Research Division: Research Report, pp. 1-18, July 28, 1994.				
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### U.S. PATENT DOCUMENTS

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### FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

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			"Unusual Farmland Auction Set," Harrison Scott Publications, Liquidation Alert, March 28, 1994
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			Freeman, Brian and Gideon, Lidor, "Hosting Services - Linking the Information Warehouse To the Information Consumer," IEEE 1994 Spring Conference, pp. 165-171.
			Booker, Ellis, "Mega real estate auction counts on imaging," Computerworld, p. 20, December 7, 1992.
			Abstract: "A forward/reverse auction algorithm for asymmetric assignment problems," Computational Optimization and Applications, December 1992.
			Abstract: "Markete! Shuts Doors," Travel Agent Magazine, March 23, 1992.

EXAMINER

Jay S. Walker

DATE CONSIDERED

8/17/00

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						YES	NO

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			Cass, Maxine, "West Coast Agents Remain Skeptical About New Air Ticket Sales Plan; Marketel: Airline ticket sales system sparks concern," Travel Agent Magazine, p. 50, September 2, 1991.
			Bookit!, "Airline Ticket Purchase Order For Business & Leisure Travel", Marketel International, Inc., 1991
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			Dyson, Esther, "Information, Bid and Asked," Forbes, August 20, 1990.
			"Mercado electronico, El chance de regatear por computador", CIENCIA Tecnologia E Informatica, March 21, 1990 (Translation enclosed).
			Cole, Jeff, "Fare bidding plan could be the ticket", St. Paul Pioneer Press Dispatch, March 11, 1990.

<b>EXAMINER</b> <i>Jay F. White</i>	<b>DATE CONSIDERED</b> <i>8/13/02</i>
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<b>OTHER DOCUMENTS</b> <i>(Including Author, Title, Date, Pertinent Papers, Etc.)</i>								
			Miller, Ross M., "The Design of Decentralized Auction Mechanisms that Coordinate Continuous Trade in Synthetic Securities," Journal of Economic Dynamics and Control, pp. 237-253, 1990.					
			Koepper, Ken, "Room Inventory Auctioning: The Next CRS Generation", Lodging, January 1990 at pages 26, 29-30					
			"Business Briefing, Airline Seats May Go on the Auction Block", Insight on the news, December 4, 1989.					
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			Munro, Don and McCann, David, "A New Way To Purchase Travel, Automated Service Would Let Companies Bid For Already-Filled Airline Seats", Business Travel News, November 6, 1989.					
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			Cohen, Danny, "Electronic Commerce," ISI Research Report, University of Southern California, October 1989.					
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### FOREIGN PATENT DOCUMENTS

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							YES	NO

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			"From Airline Tickets to Human organs, the Electronic Markets are Booming", Times, vol. 3, no. 50, August 14, 1989
			Coyne, Andrew, "Unbundling ideas may alter world of politics," The Financial Post (Toronto), Section 1, p. 11, September 27, 1989.
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			Littlefair, T., "Homelink: a unique service," Computer Bulletin, pp. 12-14, June 1986.
			Banatre, Jean-Pierre, et al., "The Design and Building of Echere, a Distributed Electronic Marketing System," Communications of ACM, Vol. 29, No. 1, January 1986.

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			Turoff, Murray and Chinai, Sanjit, "An Electronic Information Marketplace," Elsevier Science Publishers B.V., pp. 79-90, 1985.				
			Banatre, Michel, "Distributed auction bidding system," IPC Business Press, Computer Communications, Vol. 4, No. 4, August 1981.				
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	5,835,896	11/10/98	Fisher, et al.			
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	5,826,244	10/20/98	Huberman			
	5,799,285	8/25/98	Klingman			
	5,797,127	8/18/98	Jay S. Walker, et al.			
	5,794,219	8/11/98	Brown			
	5,794,207	8/11/98	Walker, et al.			
	5,758,328	5/26/98	Giovannoli			
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	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	97/46961	12/11/97	WIPO				X
	96/34356	10/31/96	WIPO				X

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EXAMINER <i>Jerry Zink</i>	DATE CONSIDERED <i>8/14/00</i>

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	5,615,269	3/25/97	Micali			
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			"First Source Become a Member", More Reasons To Join First Source! ( <a href="http://www.fsource.com/bene.html">http://www.fsource.com/bene.html</a> ), download date: September 20, 1998.
			Jeffrey Davis, "Big Storm rising", Business 2.0, September, 1998 at p. 60.
			Suite 101.com ( <a href="http://www.suite101.com/doc.cfm.presskit/questions">http://www.suite101.com/doc.cfm.presskit/questions</a> ), 1998.

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		"Free Stuff Internet Site Delivers for Viewers and Advertisers Alike", Press Release of PromoNet Interactive, Inc. dated November 10, 1997.
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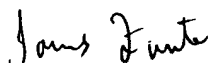
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FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. 3553-4020US3	SERIAL NO. TBA
<b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)		APPLICANT Jay S. Walker, et al.	
		FILING DATE 02/18/00	GROUP ART UNIT TBA

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EXAMINER

Jay J. Walker

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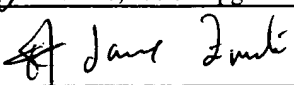

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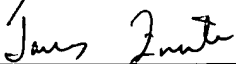

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EXAMINER <i>Jane D. White</i>	DATE CONSIDERED <i>8/17/00</i>
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

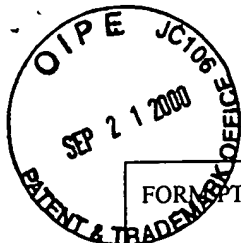


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EXAMINER <i>James J. White</i>				DATE CONSIDERED <i>8/19/92</i>			
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				 8/20/00			
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<b>OTHER DOCUMENTS</b> <i>(Including Author, Title, Date, Pertinent Papers, Etc.)</i>							
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EXAMINER <i>Jan Zink</i>				DATE CONSIDERED <i>8/24/00</i>			
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Sheet 1 of 1

FOR PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. 3553-4020US3	SERIAL NO. 09/507,262
INFORMATION DISCLOSURE CITATION  (Use several sheets if necessary)		APPLICANT Jay S. Walker, et al.	
		FILING DATE February 18, 2000	GROUP ART UNIT 2768

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
12	5,375,055	12/20/94	M. Togher, et al.			

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
12	512 702 A2	11/11/92	EP				
12	95/16971	06/22/95	WO				
12	96/13013	05/02/96	WO				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Papers, Etc.)


EXAMINER

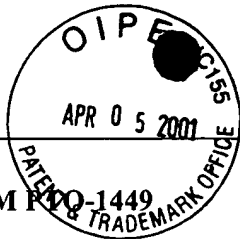
*Jane Zante*

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*8/21/02*

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FORM PTO-1449

INFORMATION DISCLOSURE CITATION

Attorney Docket:  
3553-4020US3

Serial No.:  
09/507,262

Applicant:  
Jay S. Walker, et al.

Filing Date:  
02/18/00

Group Art Unit:  
2768

U.S. PATENT DOCUMENTS

Examiner Initial	Patent Number	Issue Date	Name	Class	Sub-Class	Filing Date
JZ	5,101,353	03/31/92	Lupien et al.			
JT	5,640,390	06/17/97	Sakamoto et al.			
JT	5,758,328	05/26/98	Giovannoli			
JZ	5,794,207	08/11/98	Walker et al.			
JZ	5,822,737	10/13/98	Ogram			

FOREIGN PATENT DOCUMENTS

Examiner Initial	Patent Number	Issue Date	Country	Class	Sub-Class	Translation
JZ	0 512 702 A2	11/11/92	EP			<input type="checkbox"/> Yes <input type="checkbox"/> No
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Examiner

Jans Zente

Date Considered

8/21/00

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